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SECTION 2601

MATERIALS CERTIFICATIONS

2601.1 DESCRIPTION

This specification governs the requirements for the content and distribution of certifications when dictated, in part or in whole, as the basis of acceptance for a material.

2601.2 REQUIREMENTS

a. General

(1) The contractor is responsible for obtaining all certifications and arranging for their delivery to the proper destinations prior to use of the material and allowing sufficient time for review as stated herein.

(2) **Provide the following information on all certifications regardless of type.**

(a) Identification of the organization submitting the certification.

(b) KDOT project number and KDOT contract number.

(c) Name of contractor.

(d) Identification markings on the shipment. As a minimum, this must include the name of the manufacturer. Also include the lot or heat number referenced in the certification, the serial number if applicable, and the date of manufacture if available.

(e) Quantity of material represented by the certification. If multiple lot/heat numbers are submitted, the quantity should be listed per lot/heat number.

(f) KDOT Contract line number (bid item) and item code number of the material represented by the certification.

(g) Statement that all material complies with the applicable specifications. List the specifications by responsible organization, number, section reference or other appropriate identification.

(h) Additional information as required in the specification for the material, or as added requirements for the various types of certifications in **subsection 2601.02b** below.

(3) The general information outlined above may be provided by the supplier on a cover sheet to the manufacturer's certification. Verify that the cover sheet and certification are so well cross referenced and identified as a unit that they can be reunited if accidentally separated.

(4) When lot or heat numbers are required in a certification, the manufacturer is required to provide information with regard to the typical quantity of material and production time intervals represented by these numbers. Also include the dates of manufacture for the lots or heats involved. This information will be evaluated by the KDOT and may constitute a basis for rejection if the quantities or time intervals are considered excessive.

(5) A signature is not required on laboratory reports or manufacturer's certifications unless specifically required by other applicable specifications. However, the document must clearly identify the organization submitting the report or certification. The organization submitting certifications, reports, and related written statements is responsible for the contents of these documents whether they are signed or not.

b. Types of Certifications.

(1) Type "A" certification. This certification is to include a copy of the results of tests conducted by the manufacturer's or other qualified laboratory on samples obtained from the lot or lots of material in the shipment.

When a mill test report is submitted as the laboratory report, the quantity in the shipment does not need to be included on the report, provided that the identifying heat or lot numbers involved are roll stamped, embossed, or durably affixed to each item of material in the shipment represented by the report. In this case, provide the necessary quantity information on a cover sheet, clearly identifying the quantity of each heat or lot in the shipment.

(2) Type "B" certifications. This certification is to include a current summary of the maximum to minimum range of the manufacturer's quality control test results as determined by the manufacturer's or other qualified laboratory. These summaries must provide data on all major specification requirements. Also include the range of lots and manufacture dates represented by the data. When combining multiple components into a single item, submit a detailed parts summary indicating the lot/heat number, part description and quantity for each part. Summary reports dated more than six months prior to the date of manufacture or shipment of the product will not be

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accepted. The Engineer of Tests may also request copies of detailed test reports for material produced during a specified time interval for verification of the certification.

(3) Type "C" certifications. This certification is to include a statement certifying that the material in the shipment is essentially the same as material that is prequalified.

(4) Type "D" certifications. This certification is to comply with **subsection 2601.02a**.

(5) Type "E" certifications. This certification applies to assemblies or structures that are composed of two or more components or materials. These components or materials have been approved previously on an individual basis for KDOT projects, but lose their identity when they are incorporated into an assembly or structure. This certification would apply to signs, overhead sign and lighting structures, etc. The certification is to state that all the components or materials used in the fabrication of the represented assembly or structure were previously approved for KDOT use.

c. Responsibility for Preparation. The manufacturer of the individual item is responsible for preparing certifications of Type "A", Type "B", Type "C", and Type "D" certifications. The fabricator or assembler of individual items is responsible for preparing a Type "E" certification.

d. The Engineer reserves the right to sample and test any material or product that is governed by a certification. If deviations from the applicable specifications are found, the results will be reviewed by the Engineer to determine the final disposition of the material or product. Serious deviations may be cause for removal from prequalified status.

e. Distribution of Certifications.

- (1) Submit Types "A" and "B" certifications to:
Materials and Research Center
Attn: Materials Certification Technician
2300 Van Buren
Topeka, KS 66611

These certifications will be evaluated for compliance with the applicable specifications as well as this section. The appropriate personnel will be notified of a certification's disposition status through the issue of a hardcopy or electronic report, whichever is most expedient.

(2) Types "C," "D" and "E" certifications.

(a) Issue one copy to the Field Engineer responsible for the project construction.

(b) Do not issue these certifications to the Bureau of Materials and Research, the Materials Certification Technician, or the Engineer of Tests unless they are specifically requested.

f. Certification of Aggregates. Provide the Engineer a certification for each classification of aggregate utilized in a project.

(1) Aggregates Delivered to the Site: Certify each classification of aggregate delivered to a project or product preparation site. Prepare these certifications under the signature of the aggregate producer or their designated representative.

(a) Certify aggregates that are tested at their destination to determine final disposition as to the locations of the deposits from which they were produced.

(b) Certify aggregates that are tested at their production site to determine final disposition. These certifications state that the aggregates were removed from a KDOT tested and approved stockpile at the production site, or that they were removed from a plant while it was producing aggregate that was in compliance with the applicable specifications.

(2) Aggregates Incorporated into the Project: At locations where aggregates and products that incorporate aggregates are produced for KDOT **and** non-KDOT use, provide certifications stating that only KDOT tested and approved aggregate was provided for the KDOT projects.

(3) Frequency of Certification:

(a) Prior to the initial delivery of aggregates to a project or product preparation site, provide the Engineer a certification. This certification is to be under the signature of the aggregate producer or their designated representative and state that all aggregates to be provided for the project are in compliance with all the applicable KDOT specifications.

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(b) Upon completion of the project, provide certifications as specified in **subsection 1101.02c.(1),(2)** of this specification to the Engineer. These certifications apply to all aggregates that were delivered to the project or product preparation site and ultimately used in the project.

These certifications are to indicate the approximate quantities in tons or cubic yards of each aggregate delivered to the project and the approximate quantities in tons or cubic yards of each aggregate delivered to the product preparation site and incorporated into a product that was utilized in the project.

2601.3 TEST METHODS

Not applicable.

2601.4 PREQUALIFICATION

Not applicable.

2601.5 BASIS OF ACCEPTANCE

When certifications are incorporated into a material or product specification, acceptance will be based on the following:

a. All applicable requirements are complied with. This includes the requirements of this section as well as the requirements of specifications unique to the product or material.

b. The final disposition of any product or material will be completed at the final destination as the result of inspection for the quality of workmanship and the delivery condition.