SECTION 204

EXCAVATION AND BACKFILL FOR STRUCTURES

204.1 DESCRIPTION

Excavate for the structures as shown in the Contract Documents. Unless specified otherwise, backfill the completed structures to the original ground line.

BID ITEMS	UNITS
Class * Excavation	Cubic Yard
Concrete (Grade **)(***)	Cubic Yard
Concrete for Seal Course (Set Price)	Cubic Yard
Foundation Stabilization	Cubic Yard
Foundation Stabilization (Set Price)	Cubic Yard
Granular Backfill	Cubic Yard
Granular Backfill (Wingwalls) (Set Price)	Cubic Yard
Water (Grading) (Set Price)	M Gallon

*Class of Excavation
**Grade of Concrete
***AE (air-entrained), if specified

204.2 MATERIALS

Provide materials that comply with the applicable requirements.

Concrete ..... **DIVISION 400**
Aggregates for Backfill ..... **DIVISION 1100**
Water ..... **DIVISION 2400**

Provide sand, or other aggregate that contains sufficient binder to allow compaction and limit the flow of water through the material, as granular material for culvert bedding. Provide material with enough moisture to allow compaction. The Engineer will accept the granular bedding material based on visual inspection of the material placed on the project.

204.3 CONSTRUCTION REQUIREMENTS

a. Classification of Excavation.

(1) Class I Excavation and Class II Excavation. Excavation for bridges is normally classified as Class I and Class II Excavation. Class I and Class II Excavation are referenced to the Excavation Boundary Plane (a horizontal plane at a given elevation) shown in the Contract Documents.

(a) Class I Excavation is the entire volume of whatever nature, except water, found above the Excavation Boundary Plane, within the limits specified.

(b) Class II Excavation is the entire volume of whatever nature, including water, found below the Excavation Boundary Plane, within the limits specified.

(2) Class III Excavation. Bridge excavation not classified as Class I or Class II, is classified as Class III Excavation. Excavation for structures other than bridges is also classified as Class III Excavation.

(a) Class III Excavation is the entire volume of whatever nature encountered, including water, within the limits specified. The water level for determining quantities is the water level during construction at which pumping or bailing is necessary to continue excavation.

b. Excavation Requirements.

(1) General. Allow the Engineer to define the limits of the excavation and cross-section the original ground before beginning the excavation for the structure.

Excavate all foundations to the elevations and dimensions shown in the Contract Documents. If rock of the quality that will not erode is encountered in the toe wall excavation, the Engineer may allow the toe wall to be keyed into the rock.

Follow OSHA safety regulations for sloping the sides of excavations, using shoring and bracing as required.

If material encountered below the foundation elevation will not support the structure, remove such material and replace with stable backfill material approved by the Engineer.

Save excavated material for structure backfill. Dispose of surplus excavated material and excavated material unsuitable as backfill material.

Provide temporary erosion and pollution control according to **SECTION 901**.

(2) Cofferdams. Use watertight cofferdams if excavating in water, or if the excavation is affected by groundwater. Construct and shore the cofferdams according to OSHA safety regulations. The minimum size of the cofferdams shall be greater than the limits for pay excavation. Extend the cofferdams below the bottom of the footing, or at least to an elevation as near the bottom of the excavation as foundation conditions will allow. If necessary, dewater the cofferdams.

(3) Foundations with Piling. Complete the foundation excavation before driving any piling. After driving all piling, remove the loose and displaced material in the foundation pit. If necessary, reshape and recompact the bottom of the excavation according to the Contract Documents.

(4) Spread Footing Bridge Foundations. From the elevation that rock or shale is encountered or from the top elevation of the footing, whichever is lower, excavate the footing as shown in the Contract Documents. No side forming is allowed below the top elevation of rock or shale, or below the top of the footing, whichever is lower. Cut spread footing bridge foundations in rock, using hand equipment. Do not use blasting or machine rock excavation below the top of the footing.

If the bottom elevation of the spread footing excavation is in shale, minimize the time the shale is exposed to the elements before placing the concrete footing. Place the concrete footing within the time limits designated in the Contract Documents. Contact the KDOT Regional Geologist if the shale exposure exceeds the maximum time specified. Mitigate the effects of the shale exposure by excavating a minimum of 4 inches below the over-exposed shale to expose sound material. The Contractor has the option (at own expense) to negate the time limits imposed for exposure of the shale by placing a 4 inch (minimum) concrete seal of Grade 4.0 concrete over the exposed shale before the specified time limits expire. If the Contractor chooses this option, excavate to 4 inches below the plan bottom of footing elevation so the bottom of footing elevation remains at the elevation designated by the Contract Documents.

After the excavation is completed, and all loose material is removed from the footing, drill exploratory borings 1½ to 2 inches in diameter and 5 foot deep to verify the quality and soundness of the material below the bottom of the footing. Notify the Engineer before starting the exploratory borings.

- For footings with an area of less than 12 square yards, drill the boring in the center of the footing.
- For footings with an area of 12 square yards or greater, drill a boring within 3 feet of each corner of the footing.

If an exploratory boring encounters unsound material, or if the material at the bottom of the footing does not match the material shown on the geology sheet in the Contract Documents, do not proceed with the construction of the spread footing until the site is reviewed by the Geologist and a recommended course of action made.

(5) Excavation for Metal Pipe, Reinforced Concrete Pipe and Structural Plate Structures.

- Pipes and Culverts less than 3 feet in diameter. Excavate the bottom of the channel to the elevation shown in the Contract Documents. While excavating, use a template to shape the bottom of the channel so that at least 10% of the overall height of the pipe or culvert is in contact with the bottom of the channel. Excavate recesses into the channel to accept all protrusions from the perimeter of the pipe or culvert. Alternate methods of bedding the pipe or culvert: (1) Place and compact a bed of granular material (4 inch minimum thickness) on the bottom of the channel, and then use a template to shape the granular material to accept the culvert. (2) Place the pipe or culvert on the bottom of the channel, then place and tamp granular material (4 inch minimum thickness) under the haunch area of the pipe or culvert.
- Pipes and Culverts greater than 3 feet in diameter. Excavate the bottom of the channel to the elevation shown in the Contract Documents. Excavate recesses into the channel to accept all protrusions from the perimeter of the pipe or culvert. After the pipe or culvert is placed on the bottom of the channel,

place and tamp granular material under the haunch area of the pipe or culvert so that 20% of the overall height of the pipe or culvert is bedded in the granular material. An alternate method of bedding the pipe or culvert is to place and compact a bed of granular material (approximately half the total quantity needed) on the bottom of the channel, then use a template to shape the granular material to accept the pipe or culvert. Place and tamp the remainder of the granular material after the pipe or culvert is placed so that 20% of the overall height of the pipe or culvert is bedded in the granular material.

- If rock is encountered, remove the rock to an elevation 12 inches below the elevation shown in the Contract Documents for the bottom of the channel. If blasting is used to remove rock, take the precautions to protect the previously placed portions of the structure. Backfill and compact the bottom 12 inches of the excavation with soil from the roadway excavation.

(6) Excavation for PE and PVC Pipes. Excavate and form a bed for PE and PVC pipes according to AASHTO LRFD Bridge Design Specifications, Section 12, with these alterations:

- The minimum trench width = (1½ times the pipe diameter) + 12 inches.
- The space between the pipe and the trench wall shall be wider than the compaction equipment used in the pipe zone.
- The trench width in unsupported, unstable soils will depend on the size of the pipe, the stiffness of the backfill and insitu soil, and the depth of cover.

c. Foundation Stabilization. When designated in the Contract Documents, the Contractor has the option to construct the foundation stabilization 6 inches thick, according to the details shown, or underrun the item when deemed unnecessary. When conditions require, the Engineer may approve a depth greater than 6 inches.

d. Foundation Stabilization (Set Price). If the Contract Documents do not designate foundation stabilization and a firm foundation is not encountered at the established grade for boxes or pipe culverts, the Engineer may approve the removal of unsound material and installation of suitable foundation stabilization material.

Before this work is done, the Engineer will determine the limits of excavation for the material removal.

e. Concrete Seal Course (Set Price). When designated in the Contract Documents, construct the concrete seal course according to the details shown.

When the Contract Documents do not show a concrete seal course, but the bottom of the excavation can not be pumped free of water, the Engineer may approve the placement of a concrete seal course. When approved by the Engineer, construct a 3 inch seal course of commercial grade concrete below the bottom of footing elevation. If the Contract Documents call for foundation stabilization, and the Engineer determines the conditions require a concrete seal course as specified above, underrun the foundation stabilization. The Engineer will consider alternate methods of sealing out the water. The burden of proof regarding an alternate method of sealing out the water will be on the Contractor.

If a concrete seal course is not shown in the Contract Documents, or the Engineer does not approve one, the Contractor may still place one at own expense.

When the Contract Documents show constructing foundation stabilization, the Contractor has the option of constructing a concrete seal course in its place. However, the concrete seal course will be paid for as foundation stabilization at the contract quantity and unit price.

f. Backfill for Structures.

(1) General. Do not place backfill against any structure without the Engineer's approval.

Remove all shoring, bracing and cofferdams before backfilling a structure.

Use material from the structure excavation or material from the roadway excavation for the backfill of structures. If necessary, adjust the moisture content of the soil by adding water to or aerating the material.

Do not use hydraulic methods of backfill.

After the designated cure period for a concrete structure expires, wait at least 3 days before subjecting the structure to the pressures of backfilling or to live loads. If adverse curing conditions exist, the Engineer may extend this period.

Provide for drainage at all weep holes in concrete structures. Unless drainage is provided for otherwise in the Contract Documents, place approximately 2 cubic feet of crushed stone or sand gravel at each weep hole.

Place granular backfill as detailed in the Contract Documents. If the area for granular backfill is excavated beyond the theoretical limits of the granular backfill, fill the over-excavation with granular backfill material.

Place the backfill in horizontal layers evenly on all sides of the structure, a maximum of 8 inches thick (loose measurement). If the backfill is placed on only one side of a structure (such as abutments, piers, wingwalls), do not put excessive pressure against the structure. Prevent wedging action against the structure during the backfill. Bench the slopes bounding the excavation.

Extend each layer of the backfill to the limits of the excavation or to the original ground line. Continuously level and manipulate the material during the placing and compacting of each layer of the backfill. Use a motorgrader where possible. Compact each layer as specified before placing the next layer.

Drain all water from areas before backfilling. If backfill compaction is not required for piers, it is not necessary to drain the water from the pier excavations before backfilling.

If it is impossible to drain the water, deposit thin layers of backfill material into the water. When placing backfill material into water, the compaction requirements do not apply until the backfill progresses to the point that all water is absorbed by the backfill material.

Unless otherwise shown in the Contract Documents, backfill compaction is not required around piers, except piers adjacent to railroad tracks, roadways or in the toe slopes of embankments.

If the Contract Documents provide for "Compaction of Earthwork", compact the backfill according to **SECTION 205**. If the Contract Documents do not provide for compaction, compact the backfill according to Type B compaction in **SECTION 205**.

If the Contract Documents designate a moisture range for the embankment adjacent to the structure, use backfill material with uniform moisture content within the specified range according to **SECTION 205**. If the Contract Documents do not designate a moisture range, use backfill material with uniform moisture content adequate to produce the specified density.

(2) Backfill of Reinforced Concrete Box. If the top of a reinforced concrete box extends above the original ground line, continue the compacted backfill to the top of the reinforced concrete box. Place the backfill 10 feet wide on each side of the culvert for the full width of the roadway embankment.

(3) Backfill of Metal Pipe, Reinforced Concrete Pipe and Structural Plate Structures. If the top of a pipe or culvert extends above the original ground line, continue the compacted backfill to the top of the pipe culvert. Place the backfill 1½ times the external diameter of the pipe on each side of the culvert for the full width of the roadway embankment. Take the necessary precautions to prevent distortion of the pipe or culvert while backfilling.

Backfill structural plate structures and metal pipes greater than 60 inches in diameter with granular backfill. Use deflection control measures, including hand tamping, to maintain the original shape of the structure.

If the height of fill over the top of a reinforced concrete pipe is greater than 27.5 feet, place the backfill using the imperfect trench method in this manner:

- Place the reinforced concrete pipe in the excavation, as specified.
- Place and compact the earthen backfill to a height above the top of the pipe equal to the external width of the pipe.
- After the backfill is placed and compacted as specified, excavate the compacted earth from the prism directly over the pipe.
- Backfill the resulting trench with earth placed in the loosest possible condition.
- After the trench is filled with loose earth, construct the remainder of the embankment as specified in the Contract Documents.

If it is necessary for construction equipment to travel over a corrugated metal pipe culvert before the backfill is completed above the top of the culvert, place additional backfill over the top of the pipe. Use **TABLE 204-1** as a guide.

TABLE 204-1: APPROXIMATE MINIMUM COVER OVER THE TOP OF THE PIPE

CMP Size (inches)	Approx. Min. Cover Required for Axle Load of 18 to 50 Kip (feet)	Approx. Min. Cover Required for Axle Load of 50 to 75 Kip (feet)	Approx. Min. Cover Required for Axle Load of 75 to 110 Kip (feet)	Approx. Min. Cover Required for Axle Load of 110 to 150 Kip (feet)
12 to 42	2.0	2.5	3.0	3.0
48 to 72	3.0	3.0	3.5	4.0
78 to 120	3.0	3.5	4.0	4.0

(4) Backfill of PE and PVC Pipe. Backfill PE and PVC pipe according to the AASHTO LRFD Bridge Design Specifications, Section 12, with these alterations:

- If the fill to the top of the subgrade is 3 feet or less, backfill with granular material to the top of the subgrade.
- If the fill to the top of the subgrade is greater than 3 feet, backfill with granular material to a point 12 inches above the top of the pipe.
- Prevent floating the pipe during the backfilling operations. Do not deform or damage the pipe while compacting the granular backfill. Hand tamping may be necessary adjacent to the pipe to prevent distortion.
- The maximum barrel deflection of the pipe (reduction of the barrel nominal base inside diameter) shall not exceed 5%. Use a mandrel to measure the barrel deflection of the pipe. Take the measurement at least 30 days after the installation and backfilling. If oversized diameter pipes are installed, actual inside pipe diameters may need to be considered. Remove, reinstall or replace any pipes deformed more than 5%.

(5) Granular Backfill (Wingwalls) (Set Price). When designated in the Contract Documents, construct the granular backfill for wingwalls according to the details shown.

204.4 MEASUREMENT AND PAYMENT

a. Contract Quantities. Provided the project is constructed essentially to the lines and grades shown in the Contract Documents, the quantities shown in the Contract Documents for the various balances will be the quantities for which payment is made.

If the Contract Documents have been altered, or if the Engineer or Contractor questions the accuracy of the contract quantities at any location, either party may request the quantities involved be measured.

b. Measured Quantities. The Engineer will measure quantities for the various classes of excavation by cross-sectioning the area. The Engineer will compute the quantities (volume) by the average end area method. Where it is impractical to measure material by the cross-section method, the Engineer will use 3-dimensional measurements. Measurement will not include additional excavation required to mitigate the effects of over-exposed shale in foundations.

(1) Bridge Excavation. The Engineer will measure the various classes of excavation by the cubic yard. If the Contract Documents show excavation dimensions, the measured quantity is limited to the volume bounded by vertical planes at the contract dimensions. When excavation dimensions are not shown in the Contract Documents, the quantity measured for payment is the quantity removed, limited to the volume bounded by vertical planes 2 feet outside the footings and tie beams.

(2) Excavation for Structures Other Than Bridges. If shown as a bid item in the Contract Documents, the Engineer will measure Class III excavation by the cubic yard. If not shown as a bid item in the Contract Documents, Class III excavation for structures other than bridges is subsidiary to other items of work.

If the Contract Documents show excavation dimensions, the measured quantity is limited to the volume bounded by vertical planes at the contract dimensions. When excavation dimensions are not shown in the Contract Documents, the quantity measured for payment is the quantity removed, limited to the volume bounded by vertical planes 2 feet outside the footings.

Excavation for reinforced concrete box culverts, pipe culverts or headwalls for culverts is not measured for payment. Excavation over the culvert necessitated by the imperfect trench method of backfill is not measured for payment. If rock is not shown in the Contract Documents and is encountered during the excavation for reinforced concrete box culverts, pipe culverts or headwalls for culverts, the rock excavation is paid for as Extra Work, **subsection 104.6**.

(3) Concrete for Seal Course (Set Price). The Engineer will measure concrete placed for a seal course (either shown in the Contract Documents or approved by the Engineer) by the cubic yard. The quantity measured for payment is the quantity placed, limited to the volume bounded by vertical planes at the limits of the pay excavation for the structure. If the excavation for the structure is subsidiary, the quantity of concrete measured for payment is the quantity placed, limited to the volume bounded by vertical planes 2 feet outside the footings.

If the Contractor elects to use a concrete seal course in place of the foundation stabilization shown in the Contract Documents, the Engineer will measure and pay for the concrete seal course as the foundation stabilization at the contract quantity and at the contract unit price.

The excavation necessary to place the concrete seal course is not measured for payment.

(4) Foundation Stabilization. When designated in the Contract Documents and the Contractor opts to construct it, the Engineer will measure the foundation stabilization for box and pipe culverts by the cubic yard to the volume bounded by vertical planes at the contract dimensions to a depth of 6 inches, or greater depth approved by the Engineer.

If the Contractor deems the foundation stabilization unnecessary, the Engineer will underrun the item.

The Engineer will not measure excavation necessary to place the foundation stabilization.

(5) Foundation Stabilization (Set Price). The Engineer will measure the foundation stabilization (Set Price) by the cubic yard. The quantity measured for payment is the quantity placed, limited to the volume bounded by vertical planes at the limits of the pay excavation for the structure. If the excavation for the structure is subsidiary, the quantity of foundation stabilization measured for payment is the quantity placed, limited to the volume bounded by vertical planes 2 feet outside the footings.

The excavation necessary to place the foundation stabilization (Set Price) is not measured for payment.

(6) Granular Backfill and Granular Backfill (Wingwalls) (Set Price). The Engineer will measure granular backfill by the cubic yard. The Engineer will measure to the neat lines shown in the Contract Documents. The Engineer will not measure for payment the excavation required to place the granular backfill or any granular backfill material placed beyond the limits shown in the Contract Documents (over-excavated areas).

(7) Water (Grading) (Set Price). The Engineer will measure water used for earthwork compaction by the M gallon, by means of calibrated tanks or water meters. Water used for dust control, water wasted through the Contractor's negligence, water in excess of the quantity required to obtain the proper moisture content or water used for compaction of earthwork (backfill) around structures classified as bridges is not measured for payment.

c. Payment. Payment for the various classes of "Excavation", the various grades of "Concrete", "Foundation Stabilization" and "Granular Backfill" at the contract unit prices is full compensation for the specified work.

Payment for "Concrete for Seal Course (Set Price)", "Foundation Stabilization (Set Price)", "Granular Backfill (Wingwalls) (Set Price)" and "Water (Grading) (Set Price)" at the contract set unit prices is full compensation for the specified work.

If the Engineer determines it is necessary to lower a footing below the elevation shown in the Contract Documents, the additional excavation is paid as follows:

- Additional excavation up to and including 2 feet below the contract elevation is paid at the contract unit price.
- Additional excavation from more than 2 feet up to and including 6 feet below the contract elevation is paid at 1½ times the contract unit price.
- Additional excavation more than 6 feet below the contract elevation is paid as Extra Work, **subsection 104.6**.